1. **Add ProviderSRI and Info Note field throughout FASAMS (12477)**
   - FASAMS collects Source Record Identifiers which DCF expects to come from the providers' source systems. DCF has identified a potential future risk in the FASAMS system regarding these Source Record Identifiers, because not all managing entities are submitting the provider SRIs but their own instead.
     - Changes to ProviderClient entity
       - Fields to add:
         - ProviderSourceRecordIdentifier
           - Rules to apply:
             - Value type: string
             - Optional
             - Must be 100 characters or less
         - ProviderInformationalNote
           - Rules to apply:
             - type: string
             - Optional
             - Must be 100 characters or less
     - Changes to ProviderTreatmentEpisode entity
       - Fields to add:
         - ProviderSourceRecordIdentifier
           - Rules to apply:
             - Value type: string
             - Optional
             - Must be 100 characters or less
         - ProviderInformationalNote
           - Rules to apply:
             - Value type: string
             - Optional
             - Must be 100 characters or less
     - Changes to Admission entity
       - Fields to add:
         - ProviderSourceRecordIdentifier
           - Rules to apply:
             - Value type: string
             - Optional
             - Must be 100 characters or less
         - ProviderInformationalNote
           - Rules to apply:
             - Value type: string
             - Optional
             - Must be 100 characters or less
     - Changes to PerformanceOutcomeMeasure entity
       - Fields to add:
         - ProviderSourceRecordIdentifier
           - Rules to apply:
             - Value type: string
             - Optional

- Must be 100 characters or less
  - ProviderInformationalNote
    - Rules to apply:
      - Value type: string
      - Optional
      - Must be 100 characters or less
- Changes to Discharge entity
  - Fields to add:
    - ProviderSourceRecordIdentifier
      - Rules to apply:
        - Value type: string
        - Optional
        - Must be 100 characters or less
    - ProviderInformationalNote
      - Rules to apply:
        - Value type: string
        - Optional
        - Must be 100 characters or less
- Changes to Evaluation entity
  - Fields to add:
    - ProviderSourceRecordIdentifier
      - Rules to apply:
        - Value type: string
        - Optional
        - Must be 100 characters or less
    - ProviderInformationalNote
      - Rules to apply:
        - Value type: string
        - Optional
        - Must be 100 characters or less
- Changes to Diagnosis entity
  - Fields to add:
    - ProviderSourceRecordIdentifier
      - Rules to apply:
        - Value type: string
        - Optional
        - Must be 100 characters or less
    - ProviderInformationalNote
      - Rules to apply:
        - Value type: string
        - Optional
        - Must be 100 characters or less
- Changes to Immediate Discharge entity
  - Fields to add:
    - ProviderSourceRecordIdentifier
      - Rules to apply:
        - Value type: string

- Optional
- Must be 100 characters or less
- ProviderInformationalNote
  - Rules to apply:
    - Value type: string
    - Optional
    - Must be 100 characters or less

2. **Update FASAMS Provider to Version 14 (12478)**
   - DCF and the DAC Subcommittee has identified the Treatment Setting codes as one of the primary issues in FASAMS currently. As such, DCF has decided to remove Treatment Settings and their codes from the entire FASAMS system.
     - Restructure ProviderSite subentities
     - Remove TreatmentSetting entity completely
     - Update ProviderTreatmentSettingProgramArea entity
       - New Name: ProviderSiteProgramArea
         - Becomes subentity of ProviderSite
           - Rules to add:
             - Rule name: At Least One ProviderSite Covered Service Rule
               - Rule definition: It is required to provide at least one ProviderSiteCoveredService record for each ProviderSiteProgramArea unless the ProviderSite.SiteIdentifier equals "00", indicating an administrative site.
     - Update ProviderTreatmentSettingCoveredService entity
       - New Name: ProviderSiteCoveredService
         - Becomes subentity of ProviderSiteProgramArea
           - Rules to remove:
             - At Least One Provider Treatment Setting Program Area Rule
     - Rule changes/additions
       - CoveredServiceCodeMustBeValidRule
         - Removed
         - This rule is to check the relationship between CoveredServiceCode and TreatmentSettingCode, but ProviderTreatmentSetting is removed.
       - ProgramAreaCodeValidForCoveredServiceRule
         - Renamed to CoveredServiceCodeValidForProgramAreaRule and moved to ProviderSiteCoveredServiceRuleCollection
       - AtLeastOneProviderTreatmentSettingCoveredServiceRule
         - Removed in ProviderTreatmentSettingRuleCollection
         - New similar rule
           - AtLeastOneProviderSiteCoveredServiceRule is added into ProviderSiteProgramAreaRuleCollection
       - ProgramAreaCoveredServiceTreatmentSettingMustBeValidForSiteRule
         - Modified in ServiceEventRuleCollection

- o The part that checks TreatmentSettingCode is removed
- o Renamed to be ProgramAreaCoveredServiceMustBeValidForSiteRule
  - ProgramAreaAndCoveredServiceMustBeValidCombinationRule
    - Modified in SubcontractServiceRuleCollection
    - The structure about ProgramArea and CoveredService is changed
  - AtLeastOneProviderProgramAreaRule
    - New rule saying "a ProviderSite has at least one ProviderSiteProgramArea" in ProviderSiteRuleCollection
- o All Version 14 submissions must use a new XML naming convention
  - XML file naming convention for Provider must begin with: "ProviderVersion14dataset_"
    - Examples:
      - o ProviderVersion14DataSet_20180215083045.xml
      - o ProviderVersion14DataSet_20180222091530.xml

3. **Update FASAMS Treatment Episode to Version 14 (12479)**
   - DCF and the DAC Subcommittee has identified the Treatment Setting codes as one of the primary issues in FASAMS currently. As such, DCF has decided to remove Treatment Settings and their codes from the entire FASAMS system.
     - o Changes to Admission entity
     - o Fields to be removed:
       - TreatmentSettingCode
       - TypeCode
       - DaysWaitingToEnterTreatmentKnown
       - PriorityPopulationCode
         - Note: If DaysWaitingToEnterTreatmentNumber field is unknown then MEs will submit -1
     - o Rules to be updated within Admission:
       - Rule name: Days Waiting To Enter Treatment Known Required If Initial Admission Rule
         - Update to "Days Waiting To Enter Treatment Required for Admission Rule"
       - Rule name: Days Waiting To Enter Treatment Number Must Be Valid Value Rule
         - Update to "Days waiting To Enter Treatment Number must be '-1' if unknown Or a Valid Value Rule"
       - Rule name: Initial Admission Must Have At Least1 Diagnosis Rule
         - Update to "Admission Must Have At Least 1 Diagnosis Rule"
       - Rule name: Initial Admission Must Have At Least1 Evaluation Unless DCF Contracted Or Operated Rule
         - Update to "Admission Must Have At Least 1 Evaluation Unless DCF contracted Of Operated Ruled"

- Rule name: Initial Admission Must Have Outcome Measure Rule
  - Update to "Admission Must Have Outcome Measure Rule"
- Rule name: Staff Education Level Code Required If Initial Admission Rule
  - Update to "Staff Education Level Code Required If Admission Rule"
- Rule name: Staff Identifier Required If Initial Admission Rule
  - Update to "Staff Identifier Required If Admission Rule"
- Rules to be removed from Admission:
  - Rule name: Days Waiting To Enter Treatment Number Required If Known Rule
  - Rule name: Initial Admission Should Be Earliest Rule
  - Rule name: Must Contain Only One Initial Admission Rule
  - Rule name: Treatment Setting Admission Must Have Outcome Measure Rule
  - Rule name: Treatment Setting Code Required Rule
  - Rule name: Type Code Required Rule
  - Rule name: No Days Waiting To Enter Treatment Number If Not Known Rule
- Create PlacementRecord entity
  - Subentity of Admission
- Fields to be added to the PlacementRecord entity:
  - Name: SourceRecordIdentifier
    - Rules to apply:
      - Key field for PlacementRecord entity
      - Value type: string
      - Required
      - Must be unique for the PlacementRecord within Admission
      - Must be 100 characters or less
  - Name: ProviderSourceRecordIdentifier
    - Rules to apply:
      - Value type: string
      - Optional
      - Must be 100 characters or less
  - Name: ProviderInformationalNote
    - Rules to apply:
      - Value type: string
      - Optional
      - Must be 100 characters or less
  - Name: PlacementCode
    - Rules to apply:
      - Value type: string
      - Must be one of the following values:
        - 1 for CSU/Inpatient

- 2 for Inpatient Detoxification
- 3 for Residential
- 4 for Outpatient
- 5 for State Mental Health Treatment Facility
- Name: StartDate
  - Rules to apply:
    - Value type: Date
    - Required
    - Should be greater than or equal to the AdmissionDate
    - Should be less than or equal to the DischargeDate
    - Must be in a valid date format. Refer to Appendix 2 Common Data Types in Pamphlet 155-2
- Name: EndDate
  - Rules to apply:
    - Value type: Date
    - Optional
    - Should be greater than or equal to the AdmissionDate
    - Should be less than or equal to the DischargeDate
    - Must be in a valid date format. Refer to Appendix 2 Common Data Types in Pamphlet 155-2
- Name: PlacementOutcomeCode
  - Rules to apply:
    - Optional
    - Required to be submitted at the time the EndDate is submitted.
    - Must be one of the following values:
    - 1 for Successful [moving to a lower level of care at same provider]
    - 2 for Unsuccessful [moving to a higher level of care at same provider]
    - 3 for Discharge* [referral to another provider or no referral at completion of placement]
- Add Value Set PlacementCode to Treatment Episode vocabulary
  - Codes
    - 1 for CSU/Inpatient
    - 2 for Inpatient Detoxification
    - 3 for Residential
    - 4 for Outpatient
    - 5 for State Mental Health Treatment Facility
- Add Value Set PlacementOutcomeCode to Treatment Episode vocabulary
  - Codes

- 1 for Successful
- 2 for Unsuccessful
- 3 for Discharge
  - o Business Rules to apply:
    - ▪ Rule name: "Placement Record Required for Admission Rule"
      - A minimum of one Placement Record is required when an Admission is submitted otherwise an error occurs.
        - o Error message: "Admission with SRI <AdmissionSRI> was submitted with no PlacementRecord. An admission is required to have at least one Placement Record."
    - ▪ Rule name: "Placement Record with same Placement Code cannot have intersecting dates"
      - No two Placement Records with the same PlacementCode can have intersecting start and end dates.
        - o Error message: "No two Placement Records with the same PlacementCode can have intersecting start and end dates."
    - ▪ Rule name: "All Placement Records Must Have An End Date before Client is Discharged from Admission"
      - A client cannot be discharged unless all Placement Records have an End Date
        - o Error message: "All Placement Records are required to have an End Date before a client can be Discharged"
  - o Changes to PerformanceOutcomeMeasure.FinancialAndHousehold entity
    - ▪ Fields to be removed:
      - AnnualPersonalIncomeKnown
      - AnnualFamilyIncomeKnown
      - FamilySizeNumberKnown
      - DependentsKnown
        - o Note: '-1' will be added to the vocabulary to indicate when a data point is unknown
    - ▪ Fields to be added:
      - Field name: DependentChildrenCode
        - o Rules to apply:
          - ▪ Required
          - ▪ Must be one of the following codes:
            - 0 for No
            - 1 for Yes
            - 2 for Unknown
      - Field name: ChildWelfareInvolvedCode
        - o Rules to apply:
          - ▪ Required

- Must be one of the following codes:
  - 0 for No
  - 1 for Yes
  - 2 for Unknown
- Rules to be updated within POM.FinancialAndHousehold:
  - Rule name: Annual Family Income Known Required If Final Discharge Rule
    - Update to "Annual Family Income Required with Discharge Rule"
  - Rule name: Annual Family Income Known Required If Initial Admission Rule
    - Update to "Annual Family Income Required with Admission Rule"
  - Rule name: Annual Family Income Amount Must Not Be Negative Rule
    - Update to "Annual Family Income Amount Must be '-1' if unknown Or Zero or Greater Rule"
  - Rule name: Annual Personal Income Known Required If Final Discharge Rule
    - Update to "Annual Personal Income Required with Discharge Rule"
  - Rule name: Annual Personal Income Known Required If Initial Admission Rule
    - Update to "Annual Personal Income Required with Admission Rule"
  - Rule name: Annual Personal Income Amount Must Not Be Negative
    - Update to "Annual Personal Income Amount Must be '-1' if unknown Or Zero or Greater Rule"
  - Rule name: Dependents Known Required If Final Discharge Rule
    - Update to "Dependents Required with Discharge Rule"
  - Rule Name: Dependents Known Required If Initial Admission Rule
    - Update to "Dependents Required with Admission Rule"
  - Rule name: Dependents Count Cannot Be Less Than Zero Rule
    - Update to "Dependents Count Must Be '-1' If Unknown Or Zero or Greater Rule"
  - Rule name: Dependents Count No Smaller Than Zero Rule
    - Update to "Dependents Count Must Be '-1' If Unknown Or Zero or Greater Rule"
  - Rule name: Family Size Number Known Required If Final Discharge Rule
    - Update to "Family Size Number Required with Discharge Rule"
  - Rule name: Family Size Number Known Required If Initial Admission Rule
    - Update to "Family Size Number Required with Admission Rule"

- Rule name: Family Size Number Must Be Valid Value Rule
  - Update to "Family size must be '-1' if unknown or a valid value"
- Rules to delete from POM.FinancialAndHousehold:
  - Rule name: Annual Family Income Amount Required If Known Rule
  - Rule name: No Annual Family Income Amount If Not Known Rule
  - Rule name: Annual Personal Income Amount Required If Known Rule
  - Rule name: No Annual Personal Income Amount If Not Known Rule
  - Rule name: Dependents Count Required If Known Rule
  - Rule name: No Dependents Count If Not Known Rule
  - Rule name: Family Size Number Required If Known Rule
  - Rule name: No Family Size Number If Not Known Rule
- Changes to PerformanceOutcomeMeasure.Health entity
- Fields to be added to POM. Health
  - Field Name: BirthOutcomeCode
    - Rules to apply:
      - Required if the related admission has a Substance Abuse program area.
      - Must be one of the following values:
        - 1 for Live birth (drug presence in newborn)
        - 2 for Live birth (no drug presence in newborn)
        - 3 for Still birth
        - 4 for Miscarriage
        - 5 for Pregnancy terminated
        - 6 for Not yet delivered
        - 7 for Unknown Birth Outcome (an option only if whereabouts of individual is unknown)
        - 8 for Not Applicable
          - Note: the above vocab already exists in the Treatment Episode dataset, so they do not need to be added
  - Field Name: ActualDeliveryDate
    - Rules to apply:
      - Value Type: Date
      - Must not be provided if BirthOutcomeCode is not 1 or 2
      - Error Message: "ActualDeliveryDate must not be provided if BirthOutcomeCode is not 1 or 2."
      - Must be provided and is required if BirthOutcomeCode is 1 or 2
      - Must be a valid date
      - Should use standard error message for valid date

- o Must be greater than or equal to the AdmissionDate in the related Admission (associated through Discharge)
  - ▪ Field Name: BirthWeightPounds
    - • Rules to apply:
      - o Value Type: Integer
      - o Should only be provided and is required if BirthOutcomeCode is 1 or 2
      - o Error Message: BirthweightPounds and BirthWeightOunce must both be provided if BirthOutcomeCode is equal to 1 or 2.
  - ▪ Field Name: BirthWeightOunces
    - • Rules to apply:
      - o Value Type: Integer
      - o Should only be provided and is required if BirthOutcomeCode is 1 or 2
      - o Error Message: BirthweightPounds and BirthWeightOunce must both be provided if BirthOutcomeCode is equal to 1 or 2.
  - ▪ Field Name: DrugFreeAtDeliveryCode
    - • Rules to apply:
      - o Required if the related admission has a Substance Abuse program area.
      - o Must be one of the following values:
      - o 0 for No
      - o 1 for Yes
      - o 3 for Unknown
      - o 6 for Not Applicable
- o Changes to rules within POM.Health:
  - ▪ List of rules being moved from Discharge entity to POM.Health entity:
    - • Actual Delivery Date Must Not Be Provided If Not Live Birth Rule
    - • Actual Delivery Date No Earlier Than Admission Date Rule
    - • Actual Delivery Date Required If Live Birth Rule
    - • Birth Outcome Code Required If SA Program And Final Discharge Rule
    - • TypeCode no longer applies
    - • Birth Outcome Code Should Be NA If Male Rule
    - • Birth Weight Ounces Must Not Be Provided If Not Live Birth Rule
    - • Birth Weight Ounces Required If Live Birth Rule

- Birth Weight Pounds And Birth Weight Ounces Cannot Both Be Zero If Live Birth Rule
- Birth Weight Pounds Must Not Be Provided If Not Live Birth Rule
- Birth Weight Pounds Required If Live Birth Rule
- Drug Free At Delivery Code Required If SA Program And Final Discharge Rule
- TypeCode no longer applies
- Drug Free At Delivery Code Should Be NA If Male Rule

o Changes to PerformanceOutcomeMeasure.EducationAndEmployment entity
  ▪ Fields to be removed:
    - SchoolDaysAvailableInLast90DaysKnown
    - SchoolDaysAttendedInLast90DaysKnown
    - DaysWorkedInLast30DaysKnown
      o Note: '-1' will be added to the vocabulary to indicate unknown in the future

o Rules to be updated within POM. EducationAndEmployment:
  ▪ Rule name: School Days Attended In Last90 Days Number Must Be Valid Value Rule
    - Update to "School Days Attended In Last90 Days Number Must Be '-1' if unknown or a Valid Value Rule"
  ▪ Rule name: School Days Attended In Last90 Days Known Required If Child Mental Health Rule
    - Update to: "School Days Attended In Last90 Days Required If Child Mental Health Rule"
  ▪ Rule name: No School Days Attended In Last90 Days Number If Not Known Rule
    - Update to "No School Days Attended In Last90 Days If Client Is Not Child Mental Health Rule"
  ▪ Rule name: School Days Available In Last90 Days Number Must Be Valid Value Rule
    - Update to "School Days Available In Last90 Days Number Must Be '-1' if unknown or a Valid Value Rule"
  ▪ Rule name: School Days Available In Last90 Days Known Required If Child Mental Health Rule
    - Update to "School Days Available In Last90 Days Required If Child Mental Health Rule"
  ▪ Rule name: No School Days Available In Last90 Days Number If Not Known Rule
    - Update to "No School Days Attended In Last90 Days If Client Is Adult Rule"

- Rule name: Days Worked In Last30 Days Number Must Be Valid Value Rule
  - Update to "Days Worked In Last30 Days Number Must Be '-1' if unknown or a Valid Value Rule"
- Rule name: Days Worked In Last30 Days Known Required If Adult Mental Health Rule
  - Update to "Days Worked In Last30 Days Required If Adult Mental Health Rule"
- Rule name: No Days Worked In Last30 Days Number If Not Known Rule
  - Update to "No Days Worked In Last30 Days Number If Not Adult Mental Health Rule"
- Rules to be removed from POM. EducationAndEmployment:
  - Rule name: School Days Attended In Last90 Days Number Required If Known Rule
  - Rule name: School Days Attended In Last90 Days Known False Or NA If Client Is Adult Rule
  - Rule name: School Days Available In Last90 Days Number Required If Known Rule
  - Rule name: School Days Available In Last90 Days Known False Or NA If Client Is Adult Rule
  - Rule name: Days Worked In Last30 Days Number Required If Known Rule
  - Rule name: Days Worked In Last30 Days Known False Or NA If Client Is Child Rule
- Changes to PerformanceOutcomeMeasure.StabilityOfHousing entity
  - Fields to be Removed:
    - DaysSpentInCommunityInLast30DaysKnown
      - Note: '-1' will be added to the vocabulary to indicate unknown in the future
- Rules to be updated within POM.StabilityOfHousing:
  - Rule name: Days Spent In Community In Last30 Days Known Required If Mental Health Program Rule
    - Update to "Days Spent In Community In Last30 Days Required If Mental Health Program Rule"
  - Rule name: Days Spent In Community In Last30 Days Number Must Be Valid Value Rule
    - Update to "Days Spent In Community In Last30 Days Number Must Be '-1' if unknown or Valid Value Rule"
  - Rule name: No Days Spent In Community In Last30 Days Number If Not Known Rule

- Update to "No Days Spent In Community In Last30 Days Number If Not Mental Health Program Rule"

o Rules to remove from POM.StabilityOfHousing:
  - Rule name: Days Spent In Community In Last30 Days Number Required If Known Rule

o Changes to PerformanceOutcomeMeasure.Legal entity
  - Fields to remove:
    - ArrestsInLast30DaysKnown
      o Note: '-1' will be added to the vocabulary to indicate unknown in the future

o Rules to be updated within POM. Legal:
  - Rule name: Arrests In Last30 Days Known Required Rule
    - Update to "Arrests In Last30 Days Required Rule"
  - Rule name: Arrests In Last30 Days Number Must Be Valid Value Rule
  - Update to "Arrests In Last30 Days Number Must Be '-1' if unknown or Valid Value Rule"

o Rules to remove from POM.Legal:
  - Rule name: Arrests In Last30 Days Number Required If Known Rule
  - Rule name: No Arrests In Last30 Days Number If Not Known Rule

o Changes to Discharge entity
  - Fields to remove:
    - TypeCode
    - BirthOutcomeCode
    - ActualDeliveryDate
    - BirthWeightPounds
    - BirthWeightOunces
    - DrugFreeAtDeliveryCode
    - FriendsUseDrugsCode
    - FutureDrugUseIntendedCode

o Rules to be updated within Discharge:
  - Rule name: Final Discharge Must Have Single Outcome Measure Rule
    - Update to "Discharge Must Have Single Outcome Measure Rule"

o Rules to remove from Discharge entity:
  - Rule name: Actual Delivery Date Must Not Be Provided If Not Live Birth Rule
    - Will now reside in POM.Health
  - Rule name: Actual Delivery Date No Earlier Than Admission Date Rule
    - Will now reside in POM.Health
  - Rule name: Actual Delivery Date Required If Live Birth Rule
    - Will now reside in POM.Health

- Rule name: Birth Outcome Code Required If SA Program And Final Discharge Rule
  - Will now reside in POM.Health
- Rule name: Birth Outcome Code Should Be NA If Male Rule
- Rule name: Birth Weight Ounces Must Not Be Provided If Not Live Birth Rule
  - Will now reside in POM.Health
- Rule name: Birth Weight Ounces Required If Live Birth Rule
  - Will now reside in POM.Health
- Rule name: Birth Weight Pounds And Birth Weight Ounces Cannot Both Be Zero If Live Birth Rule
  - Will now reside in POM.Health
- Rule name: Birth Weight Pounds Must Not Be Provided If Not Live Birth Rule
  - Will now reside in POM.Health
- Rule name: Birth Weight Pounds Required If Live Birth Rule
  - Will now reside in POM.Health
- Rule name: Drug Free At Delivery Code Required If SA Program And Final Discharge Rule
  - Will now reside in POM.Health
- Rule name: Drug Free At Delivery Code Should Be NA If Male Rule
  - Will now reside in POM.Health
- Rule name: Final Discharge Has Latest Discharge Date Rule
- Rule name: Must Contain At Most One Final Discharge Rule
- Rule name: Treatment Setting Discharge Must Have Single Outcome Measure Rule
- Rule name: Type Code Required Rule

○ Additional rules to be updated:
  - Rule Name: VeteranStatusCodeRequiredIfInitialAdmissionRule
    - Update to: VeteranStatusCodeRequiredWithAdmissionRule
  - Rule Name: MaritalStatusCodeRequiredIfInitialAdmissionRule
    - Update to: MaritalStatusCodeRequiredWithAdmissionRule
  - Rule Name: ResidenceCountyAreaCodeRequiredIfInitialAdmissionRule
    - Update to: ResidenceCountyAreaCodeRequiredWithAdmissionRule
  - Rule Name: ResidencePostalCodeRequiredIfInitialAdmissionRule
    - Update to: ResidencePostalCodeRequiredWithAdmissionRule
  - Rule Name: FinancialAndHouseholdRequiredIfInitialAdmissionRule
    - Update to: FinancialAndHouseholdRequiredWithAdmissionRule
  - Rule Name: SchoolSuspensionOrExpulsionStatusCodeRequiredIfChildProgramAndInitialAdmissionRule

- Update to:
  SchoolSuspensionOrExpulsionStatusCodeRequiredIfChildProgramAndWithAdmissionRule
  - Rule Name:
    ReceivedPrescriptionsThroughIndigentDrugProgramCodeRequiredIfMHProgramAndInitialAdmissionRule
    - Update to:
      ReceivedPrescriptionsThroughIndigentDrugProgramCodeRequiredIfMHProgramAndWithAdmissionRule
  - Rule Name:
    ReceivedPrescriptionsThroughPatientAssistanceProgramCodeRequiredIfMHProgramAndInitialAdmissionRule
    - Update to:
      ReceivedPrescriptionsThroughPatientAssistanceProgramCodeRequiredIfMHProgramAndWithAdmissionRule
  - Rule Name:
    TakingAntipsychoticMedicationCodeRequiredIfMHProgramAndInitialAdmissionRule
    - Update to:
      TakingAntipsychoticMedicationCodeRequiredIfMHProgramAndWithAdmissionRule
  - Rule Name:
    LegalGuardianRelationshipCodeRequiredIfChildProgramAndInitialAdmissionRule
    - Update to:
      LegalGuardianRelationshipCodeRequiredIfChildProgramAndWithAdmissionRule
  - Rule Name:
    HasBeenCommittedToJuvenileJusticeRequiredIfChildProgramAndInitialAdmissionRule
    - Update to:
      HasBeenCommittedToJuvenileJusticeRequiredIfChildProgramAndWithAdmissionRule
  - Rule Name:
    MeetsCriteriaForMarchmanActRequiredIfSAProgramAndInitialAdmissionRule
    - Update to:
      MeetsCriteriaForMarchmanActRequiredIfSAProgramAndWithAdmissionRule
  - Rule Name:
    DrugCourtOrderedCodeRequiredIfSAProgramAndInitialAdmissionRule

- Update to:
  DrugCourtOrderedCodeRequiredIfSAProgramAndWithAdmissionRule

- Rule Name:
  OrderingCountyAreaCodeRequiredIfMHProgramAndDCFOperatedAndIntialAdmissionRule
    - Update to:
      OrderingCountyAreaCodeRequiredIfMHProgramAndDCFOperatedAndWithAdmissionRule
- Rule Name: IsVoluntarilyInTreatmentRequiredIfInitialAdmissionRule
    - Update to: IsVoluntarilyInTreatmentRequiredWithAdmissionRule
- Rule Name: IsLegallyIncompetentRequiredIfInitialAdmissionRule
    - Update to: IsLegallyIncompetentRequiredWithAdmissionRule
- Rule Name: LegalStatusCodeRequiredIfInitialAdmissionRule
    - Update to: LegalStatusCodeRequiredWithAdmissionRule
- Rule Name:
  ChildrenDependencyOrDelinquencyStatusCodeRequiredIfChildProgramAndInitialAdmissionRule
    - Update to:
      ChildrenDependencyOrDelinquencyStatusCodeRequiredIfChildProgramAndWithAdmissionRule
- Rule Name: PrimaryIncomeSourceCodeRequiredIfInitialAdmissionRule
    - Update to: PrimaryIncomeSourceCodeRequiredWithAdmissionRule
- Rule Name: PrimaryPaymentSourceCodeRequiredIfInitialAdmissionRule
    - Update to:
      PrimaryPaymentSourceCodeRequiredWithAdmissionRule
- Rule Name: HealthInsuranceCodeRequiredIfInitialAdmissionRule
    - Update to: HealthInsuranceCodeRequiredWithAdmissionRule
- Rule Name:
  TemporaryAssistanceForNeedyFamiliesStatusCodeRequiredIfInitialAdmissionRule
    - Update to:
      TemporaryAssistanceForNeedyFamiliesStatusCodeRequiredWithAdmissionRule
- Rule Name: PregnantCodeRequiredIfSAProgramAndInitialAdmissionRule
    - Update to:
      PregnantCodeRequiredIfSAProgramAndWithAdmissionRule
- Rule Name:
  PregnancyTrimesterCodeRequiredIfSAProgramAndInitialAdmissionRule

- Update to: PregnancyTrimesterCodeRequiredIfSAProgramAndWithAdmissionRule
- Rule Name: RecentlyBecomePostpartumCodeRequiredIfSAProgramAndInitialAdmissionRule
  - Update to: RecentlyBecomePostpartumCodeRequiredIfSAProgramAndWithAdmissionRule
- Rule Name: IntravenousSubstanceHistoryCodeRequiredIfSAProgramAndInitialAdmissionRule
  - Update to: IntravenousSubstanceHistoryCodeRequiredIfSAProgramAndWithAdmissionRule
- Rule Name: MarchmanActTypeCodeRequiredIfMeetsCriteriaAndInitialAdmissionRule
  - Update to: MarchmanActTypeCodeRequiredIfMeetsCriteriaAndWithAdmissionRule
- Rule Name: DischargeDestinationCodeRequiredIfFinalDischargeOrMHProgramAndNoDCFRule
  - Update to: DischargeDestinationCodeRequiredWithDischargeOrMHProgramAndNoDCFRule
- Rule Name: StaffEducationLevelCodeRequiredIfFinalDischargeRule
  - Update to: StaffEducationLevelCodeRequiredWithDischargeRule
- Rule Name: VeteranStatusCodeRequiredIfFinalDischargeRule
  - Update to: VeteranStatusCodeRequiredWithDischargeRule
- Rule Name: MaritalStatusCodeRequiredIfFinalDischargeRule
  - Update to: MaritalStatusCodeRequiredWithDischargeRule
- Rule Name: ResidenceCountyAreaCodeRequiredIfFinalDischargeRule
  - Update to: ResidenceCountyAreaCodeRequiredWithDischargeRule
- Rule Name: ResidencePostalCodeRequiredIfFinalDischargeRule
  - Update to: ResidencePostalCodeRequiredWithDischargeRule
- Rule Name: FinancialAndHouseholdRequiredIfFinalDischargeRule
  - Update to: FinancialAndHouseholdRequiredWithDischargeRule
- Rule Name: AmericansWithDisabilitiesActDisabledStatusCodeRequiredIfFinalDischargeRule

- Update to:
  AmericansWithDisabilitiesActDisabledStatusCodeRequiredWithDischargeRule
- Rule Name:
  SchoolSuspensionOrExpulsionStatusCodeRequiredIfChildProgramAndFinalDischargeRule
  - Update to:
    SchoolSuspensionOrExpulsionStatusCodeRequiredIfChildProgramAndWithDischargeRule
- Rule Name:
  ReceivedPrescriptionsThroughIndigentDrugProgramCodeRequiredIfMHProgramAndFinalDischargeRule
  - Update to:
    ReceivedPrescriptionsThroughIndigentDrugProgramCodeRequiredIfMHProgramAndWithDischargeRule
- Rule Name:
  ReceivedPrescriptionsThroughPatientAssistanceProgramCodeRequiredIfMHProgramAndFinalDischargeRule
  - Update to:
    ReceivedPrescriptionsThroughPatientAssistanceProgramCodeRequiredIfMHProgramAndWithDischargeRule
- Rule Name:
  TakingAntipsychoticMedicationCodeRequiredIfMHProgramAndFinalDischargeRule
  - Update to:
    TakingAntipsychoticMedicationCodeRequiredIfMHProgramAndWithDischargeRule
- Rule Name:
  LegalGuardianRelationshipCodeRequiredIfChildProgramAndFinalDischargeRule
  - Update to:
    LegalGuardianRelationshipCodeRequiredIfChildProgramAndWithDischargeRule
- Rule Name:
  HasBeenCommittedToJuvenileJusticeRequiredIfChildProgramAndFinalDischargeRule
  - Update to:
    HasBeenCommittedToJuvenileJusticeRequiredIfChildProgramAndWithDischargeRule
- Rule Name:
  MeetsCriteriaForMarchmanActRequiredIfSAProgramAndFinalDischargeRule

- Update to:
  MeetsCriteriaForMarchmanActRequiredIfSAProgramAndWithDischargeRule
  - Rule Name:
    DrugCourtOrderedCodeRequiredIfSAProgramAndFinalDischargeRule
    - Update to:
      DrugCourtOrderedCodeRequiredIfSAProgramAndWithDischargeRule
  - Rule Name:
    OrderingCountyAreaCodeRequiredIfMHProgramAndDCFOperatedAndFinalDischargeRule
    - Update to:
      OrderingCountyAreaCodeRequiredIfMHProgramAndDCFOperatedAndWithDischargeRule
  - Rule Name: IsVoluntarilyInTreatmentRequiredIfFinalDischargeRule
    - Update to: IsVoluntarilyInTreatmentRequiredWithDischargeRule
  - Rule Name: IsLegallyIncompetentRequiredIfFinalDischargeRule
    - Update to: IsVoluntarilyInTreatmentRequiredWithDischargeRule
  - Rule Name: LegalStatusCodeRequiredIfFinalDischargeRule
    - Update to: LegalStatusCodeRequiredWithDischargeRule
  - Rule Name:
    ChildrenDependencyOrDelinquencyStatusCodeRequiredIfChildProgramAndFinalDischargeRule
    - Update to:
      ChildrenDependencyOrDelinquencyStatusCodeRequiredIfChildProgramAndWithDischargeRule
  - Rule Name: PrimaryIncomeSourceCodeRequiredIfFinalDischargeRule
    - Update to: PrimaryIncomeSourceCodeRequiredWithDischargeRule
  - Rule Name: PrimaryPaymentSourceCodeRequiredIfFinalDischargeRule
    - Update to: PrimaryPaymentSourceCodeRequiredWithDischargeRule
  - Rule Name:
    TemporaryAssistanceForNeedyFamiliesStatusCodeRequiredIfFinalDischargeRule
    - Update to:
      TemporaryAssistanceForNeedyFamiliesStatusCodeRequiredWithDischargeRule
  - Rule Name: HealthInsuranceCodeRequiredIfFinalDischargeRule
    - Update to: HealthInsuranceCodeRequiredWithDischargeRule
- All Version 14 submissions must use a new XML naming convention
  - XML file naming convention for Treatment Episode must begin with:
    "TreatmentEpisodeVersion14dataset_"

- Examples:
- TreatmentEpisodeVersion14DataSet_20180215083045.xml
- TreatmentEpisodeVersion14DataSet_20180222091530.xml

4. **Update FASAMS Service Event to Version 14 (12481)**
   - Service Events will now point to a specific PlacementRecord already set up under a Treatment Episode, instead of an Admission with a Treatment Setting, for their Service Event submission to succeed.
     - Changes to Service Event entity
       - Fields to be added to the Service Event entity:
         - Field name: PlacementRecordSourceRecordIdentifier
           - Rules to apply:
             - Value type: String
             - Required when TypeCode is 1 (Client-Specific).
             - Must not be provided if TypeCode is 2 (Non-Client-Specific).
             - Should not be provided if being submitted to ImmediateDischarge.
             - Must match the SourceRecordIdentifier for a single placement record already set up in FASAMS for the Provider identified by the FederalTaxIdentifier, Provider treatment episode, and Provider admission identified by the AdmissionSourceRecordIdentifier.
         - Field name: PlacementRecordProviderSourceRecordIdentifier
           - Rules to apply:
             - Value type: string
             - Optional
             - Must be 100 characters or less
         - Field name: TreatmentLocaleCode
           - Rules to apply:
             - Value type: string
             - Required
             - Must be one of the following values:
             - 01 for Provider Office
             - 02 for Individual's House/Apartment or Community Setting (i.e., park, restaurant, etc.)
             - 03 for School
             - 04 for Jail/Juvenile Detention Center
             - 05 for Prison
             - 06 for Nursing Home
             - 07 for Assisted Living Facility

- 08 for Adult Family Care Home
- 09 for Community Acute Care Setting (i.e., CSU, Detox, ARF, Inpatient Unit)
- 10 for State Mental Health Treatment Facility
- 12 for Foster Home
- 13 for Residential Treatment Program (i.e., Residential Treatment Facility, Statewide Inpatient Psychiatric Program)
- 14 for Medical Hospital

- o Add Value Set TreatmentLocaleCode to Service Event vocabulary
    - Codes:
        - 01 for Provider Office
        - 02 for Individual's House/Apartment or Community Setting (i.e., park, restaurant, etc.)
        - 03 for School
        - 04 for Jail/Juvenile Detention Center
        - 05 for Prison
        - 06 for Nursing Home
        - 07 for Assisted Living Facility
        - 08 for Adult Family Care Home
        - 09 for Community Acute Care Setting (i.e., CSU, Detox, ARF, Inpatient Unit)
        - 10 for State Mental Health Treatment Facility
        - 12 for Foster Home
        - 13 for Residential Treatment Program (i.e., Residential Treatment Facility, Statewide Inpatient Psychiatric Program)
        - 14 for Medical Hospital
- o Fields to be removed:
    - TreatmentSettingCode
- o Changes to Rules within Service Event entity:
    - Remove the following rules:
        - Treatment Setting Must Match Admission Rule
        - Treatment Setting Required Rule
        - Treatment Setting Should Be Valid If Related To Immediate Discharge And Is Client Specific Rule
    - Update the following rules:
        - Program Area Covered Service Treatment Setting Type Must Be Valid Combination Rule
        - Update to "Program Area Covered Service Must Be Valid Combination Rule"
    - Add the following rules:

- "Placement Code Covered Service Must Be Valid Combination Rule"
  - The covered service submitted must have a valid association with the Placement Code in the TreatmentEpisode.PlacementRecord referenced by PlacementRecordSourceRecordIdentifier identified in 1.1.1
- All Version 14 submissions must use a new XML naming convention,
  - XML file naming convention for Service Event must begin with: "ServiceEventVersion14dataset_"
    - Examples:
    - ServiceEventVersion14DataSet_20180215083045.xml
    - ServiceEventVersion14DataSet_20180222091530.xml

5. **Update FASAMS Waiting List to Version 14 (14482)**
- DAC Subcommittee identified ProjectCode and ChildWelfareInvolvedCode fields should be added to Waiting List data set.
  - Changes to WaitingList entity
    - Fields to add:
      - ProjectCode
    - Rules to apply:
      - Value type: string
      - Required
      - Must be provided if CoveredService is not provided.
      - Must not be provided if CoveredService is provided.
      - Must be a valid ProjectCode for the given ProgramAreaCode, where the TypeCode (Event Type) equals 'Client-Specific'. Valid values are listed in the Covered Service section of Appendix 1 Data Code Values of Pamphlet 155-2.
  - ChildWelfareInvolvedCode
    - Rules to apply:
      - Value type: string
      - Required
      - Must be one of the following values:
        - 0 for No
        - 1 for Yes
    - Fields to remove:
      - TreatmentSettingCode field
    - Fields to change:
      - CoveredServiceCode
        - Remove rule:
          - Required

- o Add rules:
    - Must be provided if ProjectCode is not provided.
    - Must not be provided if ProjectCode is provided.
  - Rules to update:
    - Update ProgramAreaCoveredServiceTreatmentSetting must be valid combination rule
      - o To: ProgramAreaCoveredService must be a valid combination rule
- o All Version 14 submissions must use a new XML naming convention
  - XML file naming convention for Waiting List must begin with: "WaitingListVersion14dataset_"
    - Examples:
      - o WaitingListVersion14DataSet_20180215083045.xml
      - o WaitingListVersion14DataSet_20180222091530.xml

6. **Update FASAMS Subcontract to Version 14 (12483)**
   - DCF and the DAC Subcommittee has identified that requiring managing entities to submit funding levels at the covered service level is too cumbersome and requires too many corrections to subcontract data throughout the month. The new change in the system will allow FASAMS to more closely follow the business process of many managing entities, which is to track funding at the OCA level.
     - o Changes to Subcontract entity
       - New fields to Add:
         - Name: SourceRecordIdentifier
           - o Rules to apply:
             - Becomes key field for Subcontract entity
             - Required
             - Must be unique
             - Must be 100 characters or less
             - Remove key field designation from the following fields:
             - ContractNumber
             - SubcontractNumber
             - AmendmentNumber
         - New business rule for Subcontract entity:
           - o ContractNumber, SubcontractNumber, and AmendmentNumber combination must be unique in this entity
       - Create SubcontractOca entity
         - Child of Subcontract entity and parent of SubcontractService entity
           - o Fields to be added to the SubcontractOca entity:
             - Name: SourceRecordIdentifier

- Rules to apply:
    - Key field for SubcontractOca entity
    - Required
    - Must be unique for the SubcontractOca within Subcontract
    - Must be 100 characters or less
- Name: ExpenditureOcaCode
    - Rules to apply:
        - Required
        - Must be unique
        - Must be a valid OCA value. Valid values are listed in the OCA section in Appendix 1 Data Code Values of Pamphlet 155-2.
- Name: TotalSubcontractedOcaAmount
    - Rules to apply:
        - Required
        - Must be valid decimal number greater than or equal to 0.
        - Cannot contain more than two digits to the right of the decimal place
- The following calculations will be calculated from SubcontractOca fields:
    - The sum of the TotalSubcontractedOcaAmount for all Subcontracts within a Managing Entity must not exceed the DCF total contracted amount for that managing entity. Otherwise, a warning will be generated.
        - Guidance: The TotalContractedAmount of an initial Subcontract (Subcontract.TypeCode = 1) or amendment (Subcontract.TypeCode = 2) will be calculated as the sum of its SubcontractOca.TotalSubcontractedOcaAmount. This value will be calculated automatically by FASAMS.
- Changes to SubcontractService entity
    - Becomes child entity of SubcontractOca
- New fields to Add:
    - Name: PaymentType
        - Required field
        - Must be one of the three types:
            - Availability -- 01
            - Utilization -- 02
            - Enrollment -- 03
- Update existing fields:
    - Name: ContractedAmount
        - Update to be optional instead of required

- Remove the following field:
  - Name: ExpenditureOcaCode
- The following calculations will no longer be calculated from SubcontractService table fields:
  - The sum of the ContractedAmount for all Subcontracts with a submitting entity must not exceed the DCF total contracted amount for that ME. Otherwise, a warning will be generated
  - The ContractedAmount of an initial Subcontract (Subcontract.TypeCode = 1) or amendment (Subcontract.TypeCode = 2) will be calculated as the sum of its SubcontractService.ContractedAmount. This value will be calculated automatically by FASAMS
  - The DCF funded capacity will be calculated by FASAMS automatically for each covered service or project, program area and OCA combination, for the initial Subcontract and all amendments. This is done by dividing the ContractedAmount (numerator) by the PaymentRatePerUnitAmount (denominator).
  - Add Value Set PaymentType to Subcontract vocabulary
    - Code: 01 – Availability
    - Code: 02 – Utilization
    - Code: 03 – Enrollment
  - Service Event Rule impacted by ExpenditureOcaCode removal: update to pointing to the new structure (i.e. point at SubcontractOca instead of SubcontractService)
    - ~10 impacted Service Event Rules
      - Updates to error messages
- All Version 14 submissions must use a new XML naming convention
  - XML file naming convention for Subcontract must begin with: "SubcontractVersion14dataset_"
    - Examples:
      - SubcontractVersion14DataSet_20180215083045.xml
      - SubcontractVersion14DataSet_20180222091530.xml