### Action 4 Child Protection

#### Florida Department of Children and Families Case Review January 2016 Review Completed by Action for Child Protection Ongoing Family Functioning/Case Management Overview Date: 2/11/2016

#### **Overview and Method**

Action for Child Protection, Inc. completed a case record review requested by the Florida Department of Children and Families to assess the implementation of the Florida Safety Methodology. Cases were randomly selected from three regions in Florida and the sample was provided to Action for Child Protection. Cases were reviewed off-site by Action staff utilizing Qualtrics survey software and FSFN access provided by the Department.

This report provides a summary of key findings for the five main focus points of the review:

- Ongoing Family Functioning Assessment Intervention Stages and Information Collection, Assessing and Scaling Caregiver Protective Capacities and Child Needs, Case Plan Outcomes, Ongoing Safety Management, and Progress Evaluation
- Data Summary for Case Management Ongoing Family Functioning and Progress Evaluation.

#### Sample Size: 74

#### **Ongoing Family Functioning Assessment Intervention Stages and Information Collection**

#### **Data Summary**

- 32% of the cases indicated that the Case Manager began intervention through active engagement and introduction with the family.
- 42% of the cases indicated that the Case Manager was able to obtain additional, sufficient information to inform the Ongoing Family Functioning Assessment.

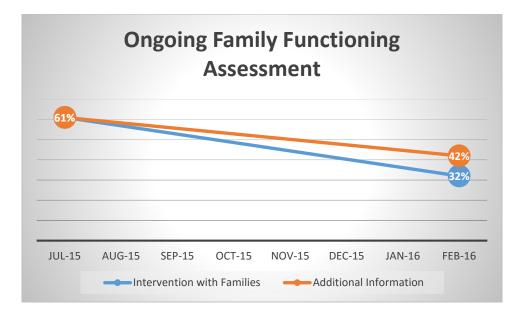
#### Strengths

• There were several cases where the case manager began engagement with the family in a timely manner to support developing rapport and information collection with the family.

#### **Areas for Consideration**

- There were several cases where the ongoing family functioning assessment was not completed, despite the family having been involved with case management for longer than 30 days. While the Ongoing FFA was launched in several cases, the Ongoing FFA was not approved or appeared to have been finalized by the agency.
- In some cases there were multiple ongoing family functioning assessments launched within the case record with various information and areas completed.

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#### Assessing and Scaling of Caregiver Protective Capacities and Child Needs

#### **Data Summary**

- 37% of the cases reviewed were found to have sufficient information to support the identified caregiver protective capacities. This is a decrease of 24% in sufficiency of information collection since the July 2015 review.
- 49% of the cases reviewed were found to have sufficient information to support the identified child needs. This is a decrease in 21% in sufficiency of information collection since the July 2015 review.

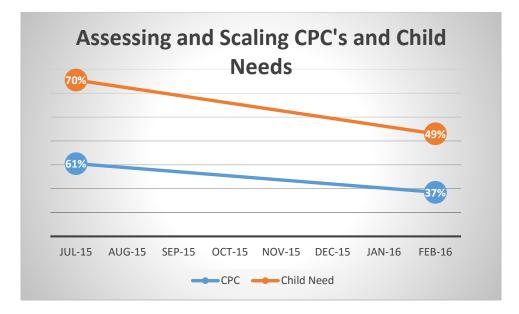
#### Strengths

- Information collection for child functioning was found to be high within the regions to support child needs assessments.
- Several cases were found to have sufficient information for all domains.
- Several cases reviewed were found to have adequate and good quality information.

#### **Areas for Consideration**

- Information collection for adult functioning was the lowest and was often found to be associated to lack of further engagement or contact with families after case transfer.
- Several cases were found to have no ongoing family functioning assessment completed and no case notes to reflect the assessment of child needs or caregiver protective capacities.

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#### **Case Plan Outcomes**

#### **Data Summary**

- 17% of the cases were identified by the review team as involving the parent/caregiver in the development of case plan outcomes. This is a significant decrease (35%) since the July 2015 review.
- 34% of the cases contained SMART outcomes. This is also a signicant decrease (19%) since the July 2015 review.

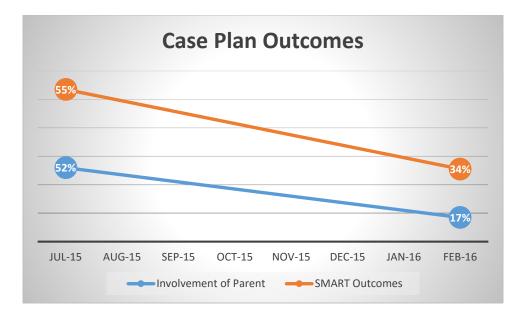
#### Strengths

• When case plans were developed, there was indication of development of SMART outcomes.

#### **Areas for Consideration**

- Several cases where the case plan outcomes had not been developed or where they were not reflected in the case record.
- Several cases where the caregiver protective capacities were not reflected in the outcomes as areas for change.
- The majority of cases did not have information to support that case plan outcomes were developed with the family.

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#### **Ongoing Safety Management**

#### **Data Summary**

- 42% of the cases were identified as having active safety management. This is a significant decrease (46%) since the July 2015 review.
- 59% of the cases were assessed for changes to safety plan when indicated. This was also a significant decrease since the last review (19%).

#### Strengths

• Several cases where the safety plan was changed when indicated due to the plan being insufficient.

#### **Areas for Consideration**

- Several cases lacked information to inform the ongoing safety management. In particular the lack of contact with parents/caregivers and identification within case notes of discussion or review of safety actions.
- Several cases where there is frequent contact with the out of home safety plan participants, however minimal contact with parents to assess for conditions for return. In particular, lack of assessment of home conditions for the family.

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#### **Evaluation of Change/Progress Update**

#### **Data Summary**

- 26 cases were identified as needing a progress evaluation, however were not completed.
- 22 cases reviewed had a completed progress evaluation.
- 59% of the cases where a progress evaluation was completed contained sufficient information to reflect the change in conditions within the family. This is a significant decrease (31%) since the July 2015 review.

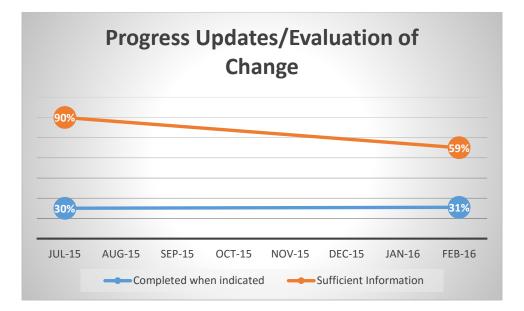
#### Strengths

• Progress updates were associated to critical junctures or indicators of needed change to the safety plan.

#### **Areas for Consideration**

- Several cases where no progress evaluation was completed, despite the need for the evaluation to be completed.
- Case notes did not support the progress evaluations.
- Several cases where the progress evaluation was not informed by the assessment of the caregivers.
- Several cases where progress evaluations were launched, but were not completed were noted.

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### **CM Statewide Data Report**

Last Modified: 12/22/2015 Filter By: Report Subgroup

1. Reviewer: Does the family proceed to case management services due to an unsafe child or child that is safe with impending danger being managed?

Answer	Response	%
Yes	74	100%
No	0	0%
Total	74	100%

2. Case documentation indicates that the CM began the Ongoing Family Functioning Assessment with a process of family engagement to establish rapport and to assure family understanding of why their child(ren) were determined to be unsafe.

Answer	Response	%
Yes	23	32%
No	49	68%
Total	72	100%

3. Is information in the ongoing family functioning assessment related to child functioning sufficient to evaluate child strengths and needs and an overall in-depth understanding of the child(ren)?

Answer	Response	%
	Response	
Yes	34	48%
No	37	52%
Total	71	100%

4. Is information in the ongoing family functioning assessment related to adult functioning sufficient to evaluate caregiver protective capacities and an overall in-depth understanding of each adult caregiver?

Answer	Response	%
Yes	28	39%
No	43	61%
Total	71	100%

5. Is information in the ongoing family functioning assessment related to parenting sufficient to evaluate caregiver protective capacities and an overall in-depth understanding of general parenting?

Answer	Response	%
Yes	28	39%
No	43	61%
Total	71	100%

6. Is information in the ongoing family functioning assessment related to parenting discipline/behavior sufficient to evaluate caregiver protective capacities and an overall in-depth understanding of parenting discipline/behavior management?

Answer	Response	%
Yes	24	34%
No	47	66%
Total	71	100%

7. Ongoing Family Functioning Assessment contains sufficient information to support the caregiver protective capacities.

Answer	Response	%
Yes	26	37%
No	44	63%
Total	70	100%

### 8. Ongoing Family Functioning Assessment contains sufficient information to support child's needs assessment.

Answer	Response	%
Yes	34	49%
No	36	51%
Total	70	100%

9. The danger statement is supported and aligned with the identified impending danger threats. Based upon the danger threat, it is clear how danger is manifesting within the family and evidence of utilization of the impending danger threshold criteria is noted within the danger statement.

Answer	Response	%
Yes	30	42%
No	41	58%
Total	71	100%

10. The family change strategy, including family goal, identified barriers, and strengths are supported by the ongoing family functioning assessment and the family change strategy indicates that the strategy was developed with the family.

Answer	Response	%
Yes	21	30%
No	50	70%
Total	71	100%

### 11. Case plan outcomes were developed in collaboration with the family?

Answer	Response	%
Yes	12	17%
No	57	83%
Total	69	100%

## 12. Case plan outcomes were SMART and information in the ongoing family functioning assessment supports the case plan outcomes?

Answer	Response	%
Yes	24	34%
No	47	66%
Total	71	100%

### 13. Supervisor conducted a case consultation prior to approving the case plan.

Answer	Response	%
Yes	18	25%
No	53	75%
Total	71	100%

14. The current safety plan is being actively managed by the CM through contact, monitoring, and active case management to ensure the sufficiency of the safety plan? This includes assessment of the parents home for assessment of conditions for return, discussion with parents regarding conditions for return and inclusion of information in progress evaluations.

Answer	Response	%
Yes	30	42%
No	41	58%
Total	71	100%

### 15. Conditions for return were clearly identified and supported by the safety planning analysis?

Answer	Response	%
Yes	18	35%
No	33	65%
Total	51	100%

## 16. Changes to the safety plan were made when indicated? (Answer yes if no changes to the safety plan were indicated)

Answer	Response	%
Yes	42	59%
No	29	41%
Total	71	100%

### 17. Did the CM complete a Progress Update at a minimum every three months or at critical junctures?

Answer	-	Response	%
Yes		22	31%
No		26	37%
Not applicable, no critical junctures or less than 3 months		23	32%
Total		71	100%

18. Does the information documented in the Family Assessment Areas of the Progress Update reflect current information related to Maltreatment, Adult Functioning, Child Functioning, and Parenting? (Answer based upon first Progress Update)

Answer	Response	%
Yes	13	59%
No	9	41%
Total	22	100%

## 19. Do the Reason(s) for Ongoing Involvement reflect a current identification of impending danger threats and a current danger statement?

Answer	Response	%
Yes	12	55%
No	10	45%
Total	22	100%

# 20. Does the scaling of child needs reflect a current assessment of child strengths and needs supported by case documentation?

Answer	Response	%
Yes	19	86%
No	3	14%
Total	22	100%

21. Does the scaling of protective capacities reflect a current assessment of caregiver protective capacities supported by case documentation?

Answer	Response	%
Yes	11	50%
No	11	50%
Total	22	100%

22. Does the safety summary and planning reflect the child's safety status as supported by identification of impending danger and status of caregiver protective capacities?

Answer	Response	%
Yes	15	68%
No	7	32%
Total	22	100%

23. Does the Outcomes Evaluation section reflect Outcomes which are SMART and consistent with other elements of the Progress Update?

Answer	Response	%
Yes	14	64%
No	8	36%
Total	22	100%

24. Is the decision related to next steps supported by the Progress Update and overall case documentation? (No changes needed changes in case plan needed or case closure recommended)

Answer	Response	%
Yes	12	55%
No	10	45%
Total	22	100%

25. Is there evidence the case management supervisor is regularly consulting with the case manager, recommending actions when concerns are identified, and ensuring recommended actions followed up on urgently?

Answer	Response	%
Yes	38	54%
No	33	46%
Total	71	100%